

Attorney's Docket No. 42.P14872

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Hua Li et al.

Application No.: 09/900,373

Filed: July 6, 2001

For: EXTERNAL CAVITY LASER
WITH ORTHOGONOL TUNING
OF LASER WAVELENGTH AND
CAVITY OPTICAL PATH LENGTH

Examiner: Hung T. Vy

Art Unit: 2828

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):



37 C.F.R. §1.97(b).

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_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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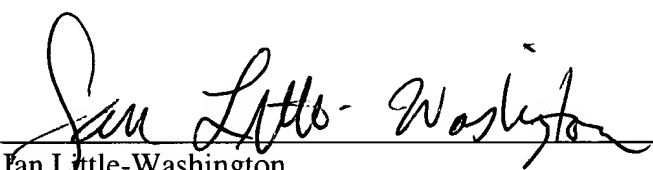
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 12/19/2003


Jan Little-Washington
Reg. No. 41,181

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600

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Christina Fernandez

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STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	09/900,373
Filing Date	July 6, 2001
First Named Inventor:	Hua Li
Art Unit	2828
Examiner Name	Hung T. Vy
Attorney Docket Number	42.P14872

Sheet

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of

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Attorney Docket Number

42.P14872

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-	5,867,512	02/02/1999	Sacher	
		US-	5,838,713	11/17/1998	Shimoji	
		US-	5,596,456	01/21/1997	Luecke	
		US-				
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		PCT/US99/24137	10/15/1999	PCT International Search Report		
		PCT/US02/21413	07/05/2002	PCT International Search Report		
		WO 00/49689	02/18/2000	New Focus, Inc.		
		EP0951112 A2	03/31/1999	European Patent Application		
		EP0687045 A2	06/06/1995	European Patent Application		

Examiner
Signature

Date Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Substitute for Form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/900,373
				Filing Date	July 6, 2001
				First Named Inventor:	Hua Li
				Art Unit	2828
				Examiner Name	Hung T. Vi
Sheet	2	of	2	Attorney Docket Number	42.P14872
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		N.A. OLSON et al., "Performance Characteristics of 1.5 μ m External Cavity Semiconductor Lasers for Coherent Optical Communication" Journal of Lightwave Technology LT-5 (1987) 510-515, April, No. 4, New York, New York, USA			
		ZHANG et al., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications", Technical Proceedings, Vol. 1, National Fiber Optics Engineers Conference, September 13-17, 1998.			
		ZORABEDIAN et al., "Interference-filter-tuned, alignment-stabilized, semiconductor external-cavity laser", Reprinted from Optics Letters, Vol. 13, page 826, October, 1988.			

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
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